IN THE UNITED STATES DISTRICT COURT FOR THE DISTRICT OF DELAWARE

ANTHONY MICHAEL SMITH,

Plaintiff,

:

V.

: Civil Action No. 05-066-JJF

DELAWARE DEPARTMENT OF :
CORRECTION, DR. ROBERTA :
BURNS, FIRST CORRECTIONAL :
MEDICAL, WARDEN RICK KEARNEY, :
and SUSSEX CORRECTIONAL :
INSTITUTION, :

Defendants.

Anthony Michael Smith, Pro Se Plaintiff.

MEMORANDUM OPINION

November ______, 2005 Wilmington, Delaware Farnan District Judge.

Plaintiff, Anthony Michael Smith, a pro se litigant, has filed this action pursuant to 42 U.S.C. § 1983. For the reasons discussed, the Court will dismiss Plaintiff's claims against the Delaware Department of Correction and the Sussex Correctional Institution and Plaintiff's claims for breach of confidentiality. The Court will not dismiss Plaintiff's remaining claims for failure to provide adequate medical treatment, however, because they are not frivolous within the meaning of 28 U.S.C. §§ 1915(e)(2)(B) and 1915A(b)(1).

I STANDARD OF REVIEW

Reviewing complaints filed pursuant to 28 U.S.C. § 1915 is a two-step process. First, the Court must determine whether the plaintiff is eligible for pauper status pursuant to 28 U.S.C. § 1915. In this case, the Court granted Plaintiff leave to proceed in forma pauperis, but because Plaintiff has no assets at this time, Plaintiff has not been assessed an initial partial filing fee. Any money Plaintiff later receives, however, will be collected to pay a partial filing fee in the manner described in the Court's Order (D.I. 5). Plaintiff filed the required form authorizing the payment of fees from his prison account.

Once Plaintiff's eligibility for pauper status has been determined, the Court must "screen" the Complaint to determine whether it is frivolous, malicious, fails to state a claim upon

which relief may be granted, or seeks monetary relief from a defendant immune from such relief pursuant to 28 U.S.C. §§ 1915(e)(2)(B) and 1915A(b)(1). If the Court finds Plaintiff's Complaint falls under any one of the exclusions listed in the statutes, then the Court must dismiss the Complaint.

When reviewing complaints pursuant to 28 U.S.C. §§

1915(e)(2)(B) and 1915A(b)(1), the Court must apply the standard of review set forth in Fed. R. Civ. P. 12(b)(6). See Neal v.

Pennsylvania Bd. of Prob. & Parole, No. 96-7923, 1997 WL 338838

(E.D. Pa. June 19, 1997)(applying Rule 12(b)(6) standard as the appropriate standard for dismissing claim under § 1915A).

Accordingly, the Court must "accept as true the factual allegations in the complaint and all reasonable inferences that can be drawn therefrom." Nami v. Fauver, 82 F.3d 63, 65 (3d Cir. 1996). Pro se complaints are held to "less stringent standards than formal pleadings drafted by lawyers and can only be dismissed for failure to state a claim if it appears 'beyond doubt that the plaintiff can prove no set of facts in support of

These two statutes work in conjunction. Section 1915(e)(2)(B) authorizes the court to dismiss an <u>in forma</u> pauperis complaint at any time, if the court finds the complaint is frivolous, malicious, fails to state a claim upon which relief may be granted or seeks monetary relief from a defendant immune from such relief. Section 1915A(a) requires the court to screen prisoner <u>in forma pauperis</u> complaints seeking redress from governmental entities, officers, or employees before docketing, if feasible, and to dismiss those complaints falling under the categories listed in § 1915A(b)(1).

his claim which would entitle him to relief." Estelle v. Gamble, 429 U.S. 97, 106 (1976) (quoting Conley v. Gibson, 355 U.S. 41, 45-46 (1957)).

The United States Supreme Court has held that the term "frivolous" as used in Section 1915(e)(2)(B) "embraces not only the inarguable legal conclusion, but also the fanciful factual allegation." Neitzke v. Williams, 490 U.S. 319, 325 (1989).² Consequently, a claim is frivolous within the meaning of Section 1915 (e)(2)(B) if it "lacks an arguable basis either in law or in fact." Id.

II. DISCUSSION

By his Complaint, Plaintiff alleges that Defendants have ignored repeated requests for medical attention for his knee.

Plaintiff alleges that he has filed multiple medical grievances, appeared before the medical grievance board, and written letters to the Warden, which were forwarded to the medical staff.

Plaintiff also alleges that Dr. Burns, First Correctional

Medical, and Warden Kearney have breached confidentiality by disclosing medical information to others at the institution. The Court liberally construes Plaintiff's Complaint as alleging

²Neitzke applied § 1915(d) prior to the enactment of the Prisoner Litigation Reform Act of 1995 (PLRA). Section 1915(e)(2)(B) is the re-designation of the former § 1915(d) under PLRA. Therefore, cases addressing the meaning of frivolous under the prior section remain applicable. See § 804 of the PLRA, Pub.L.No. 14-134, 110 Stat. 1321 (April 26, 1996).

breaches of confidentiality and the failure to provide adequate medical treatment, thereby violating Plaintiff's Eighth Amendment right to be free from cruel and unusual punishment.

A. Whether Plaintiff's Claims Against The Delaware
Department Of Correction and the Sussex Correctional
Institution Are Barred By Sovereign Immunity Under The
Eleventh Amendment

In order to establish a claim under Section 1983, a plaintiff must show that (a) the conduct complained of was committed by a person acting under color of state law, and (b) the conduct deprived the plaintiff of a federally-secured right. Moore v. Tartler, 986 F.2d 682, 685 (3d Cir. 1993). The Supreme Court has held that neither a State nor its officials acting in their official capacities are "persons" within the meaning of Section 1983. Will v. Michigan Dep't of State Police, 491 U.S. 58, 62-71 (1989). Further, a state agency or other entity may be considered an "alter ego" or an "arm of the state" such that it is entitled to immunity under the Eleventh Amendment. Christy v. Pennsylvania Turnpike Comm'n, 54 F.3d 1140, 1144 (3d Cir. 1995). Cases have recognized that the Department of Correction and state correctional institutions are arms of the State and not "persons" subject to liability under Section 1983. See Arnold v. Minner, 2005 U.S. Dist. LEXIS 12321 (D. Del. June 24, 2005); Green v. Howard R. Young Corr. Inst., 229 F.R.D. 99, 102 (D. Del. 2005). Because the Delaware Department of Correction and the Sussex Correctional Institution are entitled to immunity pursuant to the Eleventh Amendment, the Court concludes that Plaintiff's claims against them must be dismissed.

B. Whether Plaintiff's Claims Against Dr. Burns, First
Correctional Medical, and Warden Kearney For Breach Of
Confidentiality Should Be Dismissed For Lack of Subject
Matter Jurisdiction

A claim under Section 1983 requires that the plaintiff be deprived of a federally-secured right. Moore, 986 F.2d at 685. Plaintiff, however, alleges breach of confidentiality, a state law claim that does not arise under federal law or the Constitution. Thomas v. Larson, No. 00-999, 2001 U.S. Dist. LEXIS 1941, at *71 (E.D. Pa. 2001). Accordingly, the Court will dismiss Plaintiff's claims for breach of confidentiality against Dr. Burns, First Correctional Medical, and Warden Kearney for lack of subject matter jurisdiction.

C. Whether Plaintiff's Claims Against Dr. Burns, First
Correctional Medical, and Warden Kearney For Failure To
Provide Adequate Medical Treatment Should Be Dismissed
As Frivolous

To successfully allege a Section 1983 action for failure to provide medical care in violation of the Eighth Amendment, "a prisoner must allege acts or omissions sufficiently harmful to evidence deliberate indifference to serious medical needs."

Estelle v. Gamble, 429 U.S. 97, 106 (1976). Deliberate indifference is demonstrated by "the deliberate deprivation of adequate medical care or the defendant's action or failure to act despite his or her knowledge of a substantial risk of serious

harm." <u>Pew v. Connie</u>, 1997 WL 717046, *4 (E.D. Pa. Nov. 14, 1997). Further, to successfully bring a Section 1983 action against a private company that provides medical services to the State, the company's policies must be the "moving force" behind the constitutional violation, and the policies must demonstrate deliberate indifference to those deprived. <u>Swan v. Daniels</u>, 923 F.Supp. 626, 633 (D. Del. 1995).

Accepting as true the factual allegations in Plaintiff's Complaint and drawing all reasonable inferences therefrom, the Court concludes that Plaintiff has stated claims for failure to provide adequate medical treatment against Dr. Burns, First Correctional Medical, and Warden Kearney sufficient to survive frivolousness screening. Defendants had knowledge of Plaintiff's medical problems due to grievances filed, Plaintiff's appearance before the medical grievance board, and letters written to the Warden. According to Plaintiff, Defendants have failed to act despite their knowledge. Further, Plaintiff alleges that First Correctional Medical's staff as a whole has done nothing to help him despite its knowledge of the problems. Accordingly, the Court concludes that Plaintiff's claims against Dr. Burns, First Correctional Medical, and Warden Kearney are not frivolous within the meaning of 28 U.S.C. §§ 1915(e)(2)(B) and 1915A(b)(1).

An appropriate Order will be entered.

IN THE UNITED STATES DISTRICT COURT FOR THE DISTRICT OF DELAWARE

ANTHONY MICHAEL SMITH,

:

Plaintiff,

V.

Civil Action No. 05-66-JJF

DELAWARE DEPARTMENT OF :
CORRECTION, DR. ROBERTA :
BURNS, FIRST CORRECTIONAL :
MEDICAL, WARDEN RICK KEARNEY, :
and SUSSEX CORRECTIONAL :
INSTITUTION, :

Defendants.

ORDER

At Wilmington this \(\frac{1}{4} \) day of November 2005, for the reasons discussed in the Memorandum Opinion issued this date;

IT IS HEREBY ORDERED that:

- Plaintiff's claims against the Delaware Department of Correction and the Sussex Correctional Institution are <u>DISMISSED</u> because they are barred by sovereign immunity under the Eleventh Amendment.
- 2. Plaintiff's claims against Dr. Burns, First Correctional Medical, and Warden Kearney for breach of confidentiality are **DISMISSED** due to lack of subject matter jurisdiction.
- 3. Plaintiff's claims for failure to provide adequate medical treatment against Dr. Burns, First Correctional Medical, and Warden Kearney are not frivolous within the meaning of 28 U.S.C. §§ 1915(e)(2)(B) and 1915A(b)(1).
- 4. The Clerk shall mail a copy of this Order and the Memorandum

- Opinion to Plaintiff.
- Pursuant to Fed. R. Civ. P. 4(c)(2) and (d)(2), Plaintiff 5. shall complete and return to the Clerk of the Court an original "U.S. Marshal 285" form for each Defendant as well as for the Attorney General of the State of Delaware, 820 N. FRENCH STREET, WILMINGTON, DELAWARE, 19801, pursuant to Del. Code Ann. tit. 10 § 3103(c). Additionally, Plaintiff shall provide the Court with three copies of the Complaint (D.I. 2) for service upon Defendants. Further, Plaintiff is notified that the United States Marshal will not serve the Complaint until all "U.S. Marshal 285" forms have been received by the Clerk of the Court. Failure to provide the "U.S. Marshal 285" forms for each Defendant within 120 days of this order may result in the Complaint being dismissed or Defendants being dismissed pursuant to Federal Rule of Civil Procedure 4(m).
- 6. Upon receipt of the form(s) required by paragraph 5 above, the United States Marshal shall forthwith serve a copy of the Complaint (D.I. 2), this Memorandum Opinion and Order, a "Notice of Lawsuit" form, the filing fee order(s), and a "Return of Waiver" form upon each of the Defendants so identified in each 285 form.
- 7. Within thirty (30) days from the date that the "Notice of Lawsuit" and "Return of Waiver" forms are sent, if an

executed "Waiver of Service of Summons" form has not been received from a Defendant, the United States Marshal shall personally serve said Defendant(s) pursuant to Fed. R. Civ. P. 4(c)(2) and said Defendant(s) shall be required to bear the costs related to such service, unless good cause is shown for failure to sign and return the waiver.

- 8. Pursuant to Fed. R. Civ. P. 4(d)(3), a Defendant, who before being served with process, timely returns a waiver as requested, is required to answer or otherwise respond to the Complaint within sixty (60) days from the date upon which the Complaint, this Order, the "Notice of Lawsuit" form, and the "Return of Waiver" form are sent. If a Defendant responds by way of a motion, said motion shall be accompanied by a brief or a memorandum of points and authorities and any supporting affidavits.
- 9. No communication, including pleadings, briefs, statements of position, etc., will be considered by the Court in this civil action unless the documents reflect proof of service upon the parties or their counsel. The Clerk is instructed not to accept any such document unless accompanied by proof of service.

UNITED STATES DISTRICT JUDGE